

BAKERBOTTTS LLP

Fax receipt will not be confirmed by phone unless requested.

2001 ROSS AVENUE
DALLAS, TEXAS
75201-2980
214.953.6500
FAX 214.953.6503
www.bakerbotts.comAUSTIN
BAKU
DALLAS
HOUSTON
LONDON
NEW YORK
RIYADH
WASHINGTON**FILE COPY**

TO Office of Initial Patent Examination's Filing Receipt Corrections FAX NO. 703.746.9195

FIRM/COMPANY U.S. Patent and Trademark Office VOICE NO. _____

FROM Adam Cruz, Legal Asst. Clerk VOICE NO. 214.953.6709 DATE September 16, 2002

RETURN TO Adam Cruz PERSONAL FAX NO. 214.953.6503 PAGES (including cover) Seven (7)

MESSAGE

Re: Correction of U.S. Filing Receipt, U.S. Patent Application 09/755,751

To Whom It May Concern:

Attached please find enclosed a copy of the above mentioned U.S. Filing Receipt for which the following correction is required:

Under Continuing Data as Claimed by Applicant, please insert --**THIS APPLICATION IS A CIP OF 09/639,491 08/15/2000--**.

For reference, a copy of the Preliminary Amendment, filed September 13, 2002 with the USPTO, is also enclosed which reflects this information. A Corrected Filing Receipt is respectfully requested.

Sincerely Yours,

Adam Cruz
Legal Assistant Clerk
adam.cruz@bakerbotts.com**RECEIVED**
OCT 07 2002
Technology Center 2100

Notice of Confidentiality

The information contained in and transmitted with this facsimile is: 1. Subject to the Attorney-client Privilege; 2. Attorney Work Product; or 3. Confidential. It is intended only for the individual or entity designated above. You are hereby notified that any dissemination, distribution, copying, or use of or reliance upon the information contained in and transmitted with this facsimile by or to anyone other than the recipient designated above by the sender is unauthorized and strictly prohibited. If you have received this facsimile in error, please notify Baker Botts L.L.P. by telephone at 214.953.6740 immediately. Any facsimile erroneously transmitted to you should be immediately returned to the sender by U.S. Mail or, if authorization is granted by the sender, destroyed.

If you do not receive all pages, please call: 214.953.6566 or 214.953.6740 for assistance.

BILLING NO. 020431.0792

ATTORNEY/EMPLOYEE NO. 05024

CRITICAL DEADLINE, SEND
BY

ASAP

DAL01:687499.1

Received from < > at 9/18/02 10:59:28 AM [Eastern Daylight Time]



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/755,751	01/05/2001	2152	1380	020431.0792	3	35	8

CONFIRMATION NO. 3895

FILING RECEIPT



0000000005781067

Christopher W. Kennerly
Baker Botts L.L.P.
2001 Ross Avenue
Dallas, TX 75201

Date Mailed: 02/20/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Padma P. Reddy, Plano, TX;
Rubesh Mehta, Irving, TX;

Assignment For Published Patent Application

i2 Technologies, Inc.,;

RECEIVED

OCT 07 2002

Technology Center 2100

Continuing Data as Claimed by Applicant

Foreign Applications

THIS APPLICATION IS A CIP OF 09/639,491
09/15/2000

If Required, Foreign Filing License Granted 02/20/2001

Projected Publication Date: 07/11/2002

Docketed ☒ Wrapper ☒

Non-Publication Request: No

RVF Docketed N/A

Early Publication Request: No

Reference(s) _____

Title

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

020431.0792

09/755,751

1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Padma P. Reddy, et al.
Serial No.: 09/755,751
Filing Date: January 5, 2001
Title: System and Method for Remotely Monitoring and Managing
Applications Across Multiple Domains

Assistant Commissioner for Patents
Washington, DC 20231

Dear Sir:

Preliminary Amendment

Prior to the initial examination of this Application, please amend the Application as follows.

In the Specification

At Page 1, Lines 2-5, under the heading "Cross-Reference to Related Applications," please replace the existing paragraph with:

This application is a continuation-in-part of U.S. Application Serial No. 09/639,491, filed August 15, 2000, entitled "COMPUTER-IMPLEMENTED SYSTEM AND METHOD FOR MONITORING AND MANAGING BUSINESS PROCESSES AND ASSOCIATED RESOURCES."

DAL01:695553

020431.0792

09/755,751

2

Conclusion

Early and favorable acceptance of this Application is respectfully requested.

Respectfully submitted,

BAKER BOTTS L.L.P.



Christopher W. Kennerly
Reg. No. 40,675
Attorneys for Applicants

Correspondence Address:

Baker Botts L.L.P.
2001 Ross Avenue, 6th Floor
Dallas, Texas 75201-2980
(214) 953-6812

Date: 9/12/02

DAL01:695553

020431.0792

09/755,751

3

Mark-Up of Specification

At Page 1, Lines 2-5, under the heading "Cross-Reference to Related Applications," please replace the existing paragraph with:

This application is a continuation-in-part of U.S. Application Serial No. 09/639,491, filed August 15, 2000, entitled "COMPUTER-IMPLEMENTED SYSTEM AND METHOD FOR MONITORING AND MANAGING BUSINESS PROCESSES AND ASSOCIATED RESOURCES." [This application claims the benefit under 35 U.S.C. § 120 of U.S. Patent Application Serial No. 09/639,491, filed on August 15, 2000, entitled "COMPUTER-IMPLEMENTED SYSTEM AND METHOD FOR MONITORING AND MANAGING BUSINESS PROCESSES AND ASSOCIATED RESOURCES."]

DAL01:695553

PATENT DOCKET SLIP

TO: CAD KWL GJO MAJ CYH (Circle One)
(Austin)

Charged to Deposit Acct.

312 Amendment to PTO

Amendment to PTO

Preliminary Amendment

Rule 116 Amendment (Final)

Appeal Brief to PTO

Application to PTO -

CON, CPA, CIP, DIV

Provisional to Utility

Provisional App. to PTO

Notice of Appeal to PTO

Assignment to PTO

Certificate of Corr. Requested

Change of Correspondence Address *SCW VER*

Comments on Allowance

Draft to Client for Filing

Election/Restriction to PTO

Fee Address Transmittal

Formal Dwgs to PTO

No IDS Required

IDS Filed

Issue Fee to PTO

Letter Sent to Client re: Office Action

Notification of Filing Receipt Error _____

Nonpublication Request Filed

Yes _____ No _____

Oath/Declaration Filed (Missing Parts)

Patent Has Been Proofread

Petition _____

Petition to Revive

Petition to Withdraw Application

Request for Corrected Assignment Digest Sheet

Request for Corrected Filing Receipt

Request for Extension Filed _____ Mo.

Request for Status to PTO

Review for Foreign Filing Letter to Client

Verified Stmt. Filed

Supplemental Oath Filed

Terminal Disclaimer Filed

DATE ACTION COMPLETED: _____ (CIRCLE ACTION ABOVE)

REMOVE FROM DOCKET (File Attached):

Abandoned: Atty/Client authorization dated _____

Defer to: _____

File Closed - Decision made not to file application _____

Reassigned to Attorney _____

Transferred - no longer BB case: _____

Complete ALL information below:

CLIENT NAME: *id TECHNOLOGIES US INC* FILE NO. *020431-0792*

ATTY: *CWK* SERIAL NO. *09/755,751* SIGNED: *John G.*

DAL01:508987.1



UNITED STATES PATENT AND TRADEMARK OFFICE

FILE COPY
 COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
 www.uspto.gov



Bib Data Sheet

CONFIRMATION NO. 3895

SERIAL NUMBER 09/755,751	FILING DATE 01/05/2001 RULE	CLASS 709	GROUP ART UNIT 2154-2121	ATTORNEY DOCKET NO. 020431.0792
APPLICANTS Padma P. Reddy, Plano, TX; Rubesh Mehta, Irving, TX; ** CONTINUING DATA ***** THIS APPLICATION IS A CIP OF 09/639,491 08/15/2000 <i>yes (TP)</i> ** FOREIGN APPLICATIONS ***** <i>NONE (TP)</i> IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** 02/20/2001				
Foreign Priority claimed <input type="checkbox"/> yes <input checked="" type="checkbox"/> no 35 USC 119 (a-d) conditions <input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after met Verified and <i>Thpnam</i> Acknowledged <u>Examiner's Signature</u> Initials		STATE OR COUNTRY TX	SHEETS DRAWING 3	TOTAL CLAIMS 35
			INDEPENDENT CLAIMS 8	
ADDRESS Christopher W. Kennerly Baker Botts L.L.P. 2001 Ross Avenue Dallas, TX 75201				
TITLE System and method for remotely monitoring and managing applications across multiple domains				
FILING FEE RECEIVED 1380	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit	